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FEB 21 2007

Attorney Docket Number: 003252-053292

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Farber, et al.
Serial No.: 10/576,388
Filed: I.A. 02/03/2004
Title: METHODS AND COMPOSITIONS FOR INHIBITING CHOLESTEROL UPTAKE

Group No.: Not yet assigned
Examiner: Not yet assigned

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number 10/576,388 Filing Date I.A. 02/03/2004 First Named Inventor Farber, et al. Group Art Unit To be assigned Examiner Name To be assigned
Total Number of Pages in This Submission		Attorney Docket Number 003252-053292

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD. Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Transmission, Return Receipt Postcard
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Nixon Peabody LLP 100 Summer Street Boston, MA 02110
Signature	David S. Resnick (Reg. No. 34,235)/Shayne Y Huff(Reg. No. 44,784)
Date	February 16, 2007

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 Stephanie M. Mannino

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FEB 21 2007

Practitioner's Docket No. 003252-53292

PATENT

IN THE UNITED STATES RECEIVING OFFICE

PCT/US2004/003020	03.02.2004 03 February 2004	03.02.2003 03 February 2003
International Application Number	International Filing Date	International Earliest Priority Date

TITLE OF INVENTION: METHODS AND COMPOSITIONS FOR INHIBITING CHOLESTEROL UPTAKE

APPLICATION NO: 10/576,388

APPLICANT(S) FOR EO/DO: Thomas Jefferson University et al.

INVENTOR(S)/APPLICANT(S) FOR US: Steven A. FARBER et al.

CERTIFICATE OF MAILING

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Date: February 16, 2007

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SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §1.56, 1.97, and 1.98, Applicants wish to bring to the Examiner's attention the following references, Reference A2 and A3 as cited in the attached Forms PTO/SB/08a and b.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

X (1) within three (3) months of the Filing Date or **before the mailing date of the First Office Action** on the merits; or

 (2) within three months of the mailing date of the PCT International Search Report; or

—
(3) after the period defined in (1) but before the mailing date of a **Final Rejection or Notice of Allowance**, and the requisite Certification or fee under Rule 1.17(p), namely **\$180.00**, is included herein; or

—
(4) after the mailing date of a **Final Rejection or Notice of Allowance** but before the payment of the **Issue Fee**, and the requisite Certification, petition, and petition fee are included herein.

—
A copy of the International Search Report is enclosed herewith.

It is respectfully requested that each of the documents shown on the attached form(s) PTO/SB/08a and b be made of record in this application.

Copies of these documents (CHECK ONE):

—
are enclosed herewith; or

—
have been cited in the parent application, and are thus not being resubmitted herein.

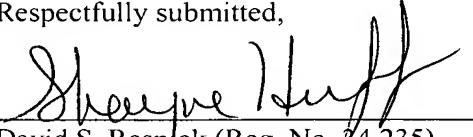
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Pursuant to 37 C.F.R. §1.98, copies of issued U.S. patents listed on the attached form PTO/SB/08, if any, are no longer required and therefore are not enclosed. These documents can be found in the U.S. PTO issued patents database.

FEE AUTHORIZATION

The Commissioner is authorized to charge fee deficiencies or credit overpayments associated with this submission to the NIXON PEABODY LLP Deposit Account No. 50-0850.

Date: February 16, 2007

Respectfully submitted,



David S. Resnick (Reg. No. 34,235)
Shayne Y. Huff (Reg. No. 44,784)
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Boston, MA 02110
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Substitute for form 1449A/PTC

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>				<i>Complete if Known</i>	
				Application Number	10/576,388
				I.A. Filing Date	February 3, 2004
				First Named Inventor	Steven A. Farber
				Art Unit	To be assigned
				Examiner Name	To be assigned
Sheet	1	of	1	Attorney Docket Number	003252-53292

U.S. PATENT DOCUMENT

FOREIGN PATENT DOCUMENT

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.